

Form No :



Manashvi

S E C U R I T I E S L T D

Prosperity through Experience, since 1958

CIN - U67120MH1997PLC110688

Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733

Member of CDSL - SEBI Regn. No. IN-DP-CDSL-215-2003

Member of NSE Cash & Derivative Segment Code 09820

SEBI Regn. No. NSE CASH / F & O / INZ 000225733

Regd. Office : 524, P.J.Tower, Dalal Street, Fort Mumbai-400 023. Tel : 022-40139477, 022-40139577
www.manashvi.com

Corporate Office : 417/A, Anjani Complex, Pereira Hill Road, Opp. Gurunanak Petrol Pump, Nr. WEH Metro Station, Andheri (E) Mumbai-400 099 Tel : 022-40139577, 022-40139477
E-mail : manashvi@manashvi.com

Gandhinagar Office : 556 1st Floor, Opp. Tejas Society, Sector-23, Gandhinagar-382 024.
Tel : 079-68101112 | Fax : 079-68101105 &
562/1, Arambh, opp. Tejas Society, sector-23, Gandhinagar-382024.
Tel : 079-68101107/08 | Email : gandhinagar@manashvi.com

Combined Account Opening Form

DEMAT A/C

BSE CASH

NSE CASH

NSE F&O

Sub Broker Name

:

Unique Client Code

:

BO ID NO.

1	2	0	4	7	8	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

Name (1)

:

Name (2)

:

Name (3)

:

Guidance for Applications for account opening

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th schedule of the Constitution of India Thumb impressions and signatures other than the above mentioned languages must be attested by a magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
2. Signature should be preferably in **blackink**.
3. Details of the Names, Address and Tel. No. etc. of the Magistrate / Notary Public / Special Executive Officers are to be provided incase of any attestation done by them.
4. In case of additional signature (For accounts other than individuals), separate annexure should be attached to the application form.
5. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarized copy thereof must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first/ sole applicant.
7. All holders of the account will sign all the depository instructions / correspondence given to us in future.
8. Where the holder is minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
9. Any extra / additional information must be provided in writing along with the application form.
10. Please note that incomplete applications will not be accepted.
11. Kindly strike off whichever is not applicable.
12. Suffix Refers to Mr. XJunior or Mr. XSenior or Lory or Lord YII etc .

ADDITIONAL DOCUMENTS REQUIRED FOR THE FOLLOWING CATEGORIES

Sr. No.	Category	Description
1.	NRI with Repatriation benefits	1. RBI approval for acquiring shares/ securities 2. Bank Mandate
2.	NRI without Repatriation benefits	1. Letter of undertaking not to remit money abroad 2. Bank mandate, if address given is a foreign address
3.	Minor	1. Guardian's Name 2. Guardian's relationship to the minor proof 3. Birth Certificate of the minor 4. Guardian will sign across the Photograph of Minor.
4.	Foreign National	1. RBI approval for acquiring shares & securities 2. Bank Mandate.
5.	Individual who have given POA	In case the client wishes to or has granted power of attorney, copy of a certified copy of power of attorney should be provided along with the proof of identity and address of the person to whom power of attorney has been granted.

ACCOUNT OPENING KIT**INDEX**

	Name of the Document	Brief Significance of the Document	Page No.
--	----------------------	------------------------------------	----------

MANDATORY DOCUMENT AS PRESCRIBED BY SEBI & EXCHANGES

●	Individual KYC (1st Holder)		1-2
●	Individual KYC (2nd Holder)		3-4
●	NON-Individual KYC		5-6
●	NON-Individual C-KYC		7-8
●	Trading & Demat form with Instructions		9-21
●	Right And Obligation-CDSL		22
●	Policy & Procedure		23-24
●	GTT/GTC policy		25-26
●	SMS Alert Form		27-28
●	Tariff Sheet		29
●	Rate Instructions		30
●	Proprietary Trading		31
●	STWT		32
●	Power of Attorney (Demat)-Non Mandatory Document		33-34
●	Non Mandatory Document		35-38
●	FATCA-Individual		39-40
●	FATCA-Non-Individual		41-42
●	MITC		43
●	Voluntary		44
●	Acknowledgment for Account Opening		45

MANASHVI SECURITIES LTD.

SEBI Registration No. : Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733
 Member of CDSL - SEBI Regn. No. IN-DP-CDSL-215-2003
 : Member of NSE Cash & Derivative Segment Code 09820
 SEBI Regn. No. NSE CASH / F & O - INZ 000225733

Registered office address : 524, P.J.Tower, Dalal Street, Fort Mumbai-400 023. Tel : 022-40139477, 022-40139577
 www.manashvi.com

Correspondence office address : 556, 1st Floor, Opp. Tejas Society, Sector-23, Gandhinagar-382 024.
 Tel : 079-68101112 , Fax : 079-68101105

Compliance Officer BSE/NSE : Mr. Nilesh Y. Shah Phone No. 022-40139577 service@manashvi.com
 Compliance Officer CDSL : Mr. Vidhyut K. Shah

For any grievance / dispute please contact Manashvi Securities Ltd. at the above address or email id -
 BSE :
 NSE : } complaint@manashvi.com
 CDSL :

Phone : 022-40139577, 022-40139477

In case not satisfied with the response, please contact

NSE

Email : ignse@nse.co.in

Tel.: (022) 2659 8100

BSE

Email : is@bseindia.com

Tel.: (022) 2272 8097

CDSL

Email : complaints@cdslindia.com

Tel.: (022) 22723333

You can also lodge your grievance with SEBI at <https://scores.sebi.gov.in> or Smart ODR portal at <https://smartodr.in/login>. For any queries, feedback or assistance please contact SEBI on Toll Free helpline at 1800 221515/1800 266 7575.

SEBI Investor Education & Awareness website: <https://investor.sebi.gov.in/>

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4. Contact Details (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction F at the end)

Email ID

Mobile - Tel. (Off) - Tel. (Res) -

5. FATCA/CRS Information (Tick if Applicable) Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction B at the end)

Additional Details Required* (Mandatory only if above option (5) is ticked)

Country of Jurisdiction of Residence* Country Code of Jurisdiction of Residence as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* Country of Birth* Country Code as per ISO 3166

Address

Line 1*

Line 2

Line 3

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988

State/UT* Country* Country Code as per ISO 3166

6. Details of Related Person (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill Annexure B1)

Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

Proof of Identity [Pol] of Related Person* (Please see instruction (H) at the end)

(Certified copy of any one of the following Proof of Identity[Pol] needs to be submitted)

A - Passport Number Passport Expiry Date

B - Voter ID Card

C - PAN Card

D - Driving Licence Driving Licence Expiry Date

E - Aadhaar Card

F - NREGA Job Card

Z - Others (any document notified by the central government) Identification Number

7. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately . In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time .
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address
- I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.
- I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Applicant e-Sign	Applicant Wet Signature

Date : - - Place :

8. Attestation / For Officee Use Only

Documents Received Certified Copies

KYC Verification Carried Out by (Refer Instruction I)

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name

Code

Emp. Branch

[Institution Stamp]

In-Person Verification (IPV) Carried Out by (Refer Instruction J)

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name

Code

Emp. Branch

[Institution Stamp]

Know Your Client (KYC)
Application Form (For Individuals only)

(Please fill the form in English and in BLOCK Letters)
 Fields marked with '*' are mandatory fields

Application New
 Type* Update KYC Number*
 KYC Type* Normal (PAN is mandatory) PAN Exempt Investors (Refer instruction K)



KYC Mode* : Please Tick (✓)

Normal EKYC OTP EKYC Biometric Online KYC offline KYC Digilocker

1. Identity Details (Please refer instruction **A** at the end)

PAN Please enclose a duly attested copy of your PAN Card

	Prefix	First Name	Middle Name	Last Name
Name* (same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any*)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Gender*	<input type="checkbox"/> M- Male	<input type="checkbox"/> F - Female	<input type="checkbox"/> T-Transgender	
Marital Status*	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship*	<input type="checkbox"/> IN- Indian	<input type="checkbox"/> Others – Country	Country Code <input type="text"/>	
Residential Status*	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident Indian		
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S -Service <input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Sector	
	<input type="checkbox"/> O-Others <input type="checkbox"/> Professional	<input type="checkbox"/> Self Employed	<input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student	
	<input type="checkbox"/> B-Business	<input type="checkbox"/> X-Not Categorised		

Photo

Photo across sign

Signature/Thumb Impression

2. Proof of Identity (PoI)* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> B- Voter ID Card	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> D- Driving Licence	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> E- Aadhaar Card	<input type="text"/>		
<input type="checkbox"/> F- NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Z- Others (any document notified by the central government)	<input type="text"/>		

3. Proof of Address (PoA)*

3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

Address

Line 1*

Line 2

Line 3

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988

State/UT* Country * Country Code as per ISO 3166

Address Type* Residential / Business Residential Business Registered Office Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Proof of Address*

<input type="checkbox"/> Passport Number	<input type="text"/>	Passport Expiry Date	<input type="text"/>
<input type="checkbox"/> Voter ID Card	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/>
<input type="checkbox"/> Driving Licence	<input type="text"/>	Identification Number	<input type="text"/>
<input type="checkbox"/> Aadhaar Card	<input type="text"/>		
<input type="checkbox"/> NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> Others (any document notified by the central government)	<input type="text"/>		

3.2 Correspondence / Local Address Details* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill „Annexure A1“, Submit relevant documentary proof)

Line 1*

Line 2

Line 3

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988

State/UT* Country * Country Code as per ISO 3166

4. Contact Details (All communications will be sent on provided Mobile no. / Email ID) (Please refer instruction **F** at the end)

Email ID

Mobile - Tel. (Off) - Tel. (Res) -

5. FATCA/CRS Information (Tick if Applicable) Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction **B** at the end)

Additional Details Required* (Mandatory only if above option (5) is ticked)

Country of Jurisdiction of Residence* Country Code of Jurisdiction of Residence as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction)*

Place / City of Birth* Country of Birth* Country Code as per ISO 3166

Address

Line 1*

Line 2

Line 3

District* Zip / Post Code* State/UT Code as per Indian Motor Vehicle Act , 1988

State/UT* Country* Country Code as per ISO 3166

6. Details of Related Person (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill Annexure B1)

Related Person Deletion of Related Person KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name* Prefix First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

Proof of Identity [Pol] of Related Person* (Please see instruction (**H**) at the end)

(Certified copy of any one of the following Proof of Identity[Pol] needs to be submitted)

A - Passport Number Passport Expiry Date

B - Voter ID Card

C - PAN Card

D - Driving Licence Driving Licence Expiry Date

E - Aadhaar Card

F - NREGA Job Card

Z - Others (any document notified by the central government) Identification Number

7. Applicant Declaration

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately . In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time .
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address
- I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.
- I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card With readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Applicant e-Sign	Applicant Wet Signature

Date : - - Place :

8. Attestation / For Officee Use Only

Documents Received Certified Copies

KYC Verification Carried Out by (Refer Instruction I)

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name

Code

Emp. Branch

[Institution Stamp]

In-Person Verification (IPV) Carried Out by (Refer Instruction J)

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

Institution Details

Name

Code

Emp. Branch

[Institution Stamp]

**Know Your Client (KYC)
Application Form (For Non-Individuals Only)**



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS with black ink

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (Please write complete name as per Certificate of Incorporation / Registration; leaving one box blank between 2 words. Please do not abbreviate the Name).

2. Date of Incorporation / /
 Place of Incorporation

3. Registration No. (e.g. CIN)
 Date of commencement of business / /

4. Status Please tick (✓) Private Ltd. Co. Public Ltd. Co. Body Corporate Partnership Trust / Charities / NGOs
 FI FII HUF AOP Bank Government Body Non-Government Organisation
 Defence Establishment Body of Individuals Society LLP Others (Please specify) _____

5. Permanent Account Number (PAN) (MANDATORY) Please enclose a duly attested copy of your PAN Card

PHOTOGRAPH

Please affix the recent passport size photograph of Authorised Signatory and sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

 City / Town / Village State Country Postal Code

2. Contact Details
 Tel. (Off.) (ISD) (STD) Tel. (Res.) (ISD) (STD)
 Mobile (ISD) (STD) Fax (ISD) (STD)
 E-Mail Id.

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Bank Account Statement Registered Lease / Sale Agreement of Office Premises
 Any other proof of address document (as listed overleaf). (Please specify) _____
 *Not more than 3 Months old/Validity/Expiry date of proof of address submitted / /

4. Registered Address (If different from above)

 City / Town / Village State Country Postal Code

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Bank Account Statement Registered Lease / Sale Agreement of Office Premises
 Any other proof of address document (as listed overleaf). (Please specify) _____
 *Not more than 3 Months old. Validity/Expiry date of proof of address submitted / /

C. New Other Details (please see guidelines overleaf)

1. Gross Annual Income Details Please tick (✓): Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac 25 Lacs-1 Crore > 1 Crore

2. Net-worth in ₹. (*Net worth should not be older than 1 year) _____ as on (date) / /

3. Name, PAN, DIN/UID, residential address and photographs of Promoters/Partners/Karta/Trustees/whole time directors
 (Please use the Annexure to fill in the details)

4. Is the entity involved/providing any of the following services YES NO
 - For Foreign Exchange / Money Changer Services YES NO - Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) YES NO
 - Money Lending / Pawning YES NO

5. Any other information: _____

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

**NAME & SIGNATURE(S)
OF AUTHORISED
PERSON(S)**

Place: Date:

FOR OFFICE USE ONLY

AMC/Intermediary name OR code _____

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant _____

Sr. No.	PAN	Name	DIN (For Directors) / UID (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

Signature of the Authorised Signatory(ies) _____ Date _____ / _____ / _____

PEP: Politically Exposed Person RPEP: Related to Politically Exposed Person

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Legal Entity/ Other than Individuals



Important Instructions:

- A) Fields marked with "*" are mandatory fields.
- B) Tick wherever applicable.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please fill the form in English and in BLOCK letters.
- E) KYC number of applicant is mandatory for update application. The section number and strike off the sections not required to be updated.
- F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- G) List of two character ISO 3166 country codes is available at the end.
- H) Please read section wise detailed guidelines/ instructions at the end.
- I) For particular section update, please tick (✓) in the box available before

For Office use only	Application Type* New Update	
(To be filled by financial institution)	KYC number	(Mandatory for KYC update request)

1. ENTITY DETAILS* (Please refer instruction A at the end)

Name*

Entity Constitution Type* Other (Specify) (Please refer instruction B at the end)

Date of Incorporation / Formation* - - Date of commencement of Business - -

Place of Incorporation / Formation* Country of Incorporation / Formation* TIN or Equivalent Issuing Country

PAN* Form 60 furnished

TIN / GST Registration Number

2. PROOF OF INDENTITY (PoI)* (Please refer instruction B at the end)

Officially valid document(s) in respect of person authorised to transact

Certificate of Incorporation / Formation Registration Certificate Regn Certificate No

Memorandum and Articles of Association Partnership Deed Trust Deed

Resolution of Board / Managing Committee Power of attorney granted to its manager, officers or employees to transact on its behalf

Activity Proof - 1 (For Sole Proprietorship Only) Activity Proof - 2 (For Sole Proprietorship Only)

3. ADDRESS* (please see instruction C at the end)

3.1 Registered Office Address / Place of Business*

Proof of Address*	Certificate of Incorporation / Formation	Registration Certificate	Other Document
Line 1* <input style="width: 90%; height: 20px;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Line 2 <input style="width: 90%; height: 20px;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Line 3 <input style="width: 90%; height: 20px;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
District* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>	PIN / Post Code* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>	State / U.T. Code* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>	City / Town / Village* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>

3.2 Local Address in India (If different from Above)*

Line 1* <input style="width: 90%; height: 20px;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Line 2 <input style="width: 90%; height: 20px;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Line 3 <input style="width: 90%; height: 20px;" type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
District* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>	PIN / Post Code* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>	State / U.T. Code* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>	City / Town / Village* <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/> <input style="width: 15px;" type="text"/>

4. CONTACT DETAILS (All Communication will be sent to Mobile number/Email-ID provided" may be used)(Please refer instruction D at the end)

Tel. (Off) FAX

Mobile Email ID

Mobile Email ID

5. NUMBER OF RELATED PERSONS(Please refer instruction E at the end)

TRADING AND DEMAT ACCOUNT RELATED DETAILS

I/We request you to open the following account(s) in my/our name as per the following details.
(Please tick only one of the following options)

Trading Account and CDSL Demat Account CDSL Demat Account only Trading Account only

Type of Demat Account	<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non-Repatriable	<input type="checkbox"/> Margin	<input type="checkbox"/> Promoter	<input type="checkbox"/> HUF	<input type="checkbox"/> Others (please specify).....
Type of Trading Account	<input type="checkbox"/> Ordinary Resident	<input type="checkbox"/> NRI-Repatriable	<input type="checkbox"/> NRI-Non-Repatriable	<input type="checkbox"/> Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> HUF	<input type="checkbox"/> Others (please specify)....

A. SOLE/FIRST HOLDER DETAILS

SMS Facility (This facility is made mandatory to register Power of Attorney in demat account. Ensure that the mobile number is provided in the KYC Application Form.)		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Name of the Trading A/C Holder			
Name of the First/Sole Holder (demat)			
PAN No.	UID No.	Qualification	

OTHERS DETAILS

Gross Annual Income Details (please specify)	Income Range per annum	(1). Below Rs 1 Lac	(2). 1-5 Lac	(3). 5-10 Lac						
		(4). 10-25 Lac	(5). 25 Lacs - 1 Crore							
	Net-worth as on (date)	D	D	M	M	Y	Y	Y	Y	_____ (*Net worth should not be older than 1 year)
Occupation (please tick any one and give brief details)	1. Private Sector	2. Public Sector	3. Government Service	4. Business	5. Professional	6. Agriculturist	7. Retired	8. Housewife	9. Student	10. Others
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to a Politically Exposed Person (PEP)								

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
Easi	To register for easi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

B. SECOND HOLDER DETAILS

Name of the Second Holder (demat)																						
PAN No.												UID No.										

C. THIRD HOLDER DETAILS

Name of the Third Holder (demat)																						
PAN No.												UID No.										

D. GUARDIAN DETAILS (In case the sole holder is a minor). - Applicable for Demat Account only

Name (Mr./Ms.)												PAN										
												Provide a copy of the PAN card and original for verification										
Relationship (if any)												Date of Birth* (of Minor)	D	D	M	M	Y	Y	Y	Y		
Address (Submit valid Proof of Address)																						
											PIN CODE: _____											

*Provide attested copy of Birth Certificate.

MANDATORY

Account Statement Requirement	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly
	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Semi-Annually	<input type="checkbox"/> Annually	
Do you wish to receive dividend / interest directly in to your bank account given below through ECS ? <input type="checkbox"/> YES <input type="checkbox"/> NO				

E. BANK DETAILS FOR DEMAT ACCOUNT / TRADING ACCOUNT

Bank A/c No.	Bank Sub-type	<input type="checkbox"/> Savings Account <input type="checkbox"/> Current Account <input type="checkbox"/> Others			
Bank Name (Full name)					
Bank Branch Address (Write as per the manner discribed)					
<i>Building Tower/Appartment/Palace/Chamber/Mansion</i>					
<i>Street/Road/Marg/Lane/Avenue/Rasta</i>					
City		State		PIN CODE:	
9-Digit Code Number of the Bank and branch appearing on the MICR cheque issued by the Bank (Submit Valid Proof)					
IFSC Number					
In case of NRIs, RBI Referene No.*				RBI Approval Date	
				D	D
				M	M
				Y	Y
				Y	Y

*Submit PIS permission copy obtained from bank.

F. STANDING INSTRUCTIONS FOR DEMAT ACCOUNT

I/We authorise you to receive credits automatically into my/our account	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Account to be operated through Power of Attorney (PoA) (If yes, ensure SMS alert facility is opted.)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Download of Email ID to Issuer / R & T Agent	<input type="checkbox"/> Yes	<input type="checkbox"/> No
I/We authorise you to send all communications/statements through the e-mail only*	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Address for communication/Corporate Benefits	<input type="checkbox"/> Local/Permanent Address <input type="checkbox"/> Correspondence Address/Foreign Address (Default option is Local Address)	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID	<input type="checkbox"/> Yes	<input type="checkbox"/> No
I / We would like to Share the email ID with the RTA	<input type="checkbox"/> Yes	<input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic	

*All communication shall be sent at the email ID/correspondence address of the Sole/First holder only. Please ensure the correctness of e-mail address in the KYC Application Form.

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

G. DOCUMENTARY EVIDENCE OF FINANCIAL DETAILS FOR DERIVATIVE CLIENTS (For Derivatives Trading)

<input type="checkbox"/> Copy of Tax Return	<input type="checkbox"/> Salary Certificate	<input type="checkbox"/> Net Worth Certificate	<input type="checkbox"/> Bank Statement for the last 6 months	Others, Please Specity
---	---	--	---	------------------------------

H. PAST ACTIONS

Details of any action/proceedings initiated/pending/taken by SEBI/Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years	
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I. DEPOSITORY ACCOUNT DETAILS

Depository Participant Name	Depository / Name (NSDL / CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

J. DEALING THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following	Authorised Person :				
	AP Registration number	BSE		NSE	
	Registered Office address				
	Phone		Fax		Website
Whether dealing with any other stock broker /sub-broker (if case dealing with multiple stock brokers/ sub- brokers,	Registration number				
	Name of stock broker		Name of Sub-Broker, if any		
	Client Code		Exchange		
	Details of disputes / dues pending from / to such stock broker/sub-broker				

NOMINATION DETAILS

Nomination Registration No. :

Dated :

I/We hereby confirm that I/We **do not wish to appoint any nominee in my demat account** and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the demat account...

	First/ Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

Signature OF witness along with name and address are required, if the account holder affixes thumb impression, instead of signature

I/We **wish to make a nomination and do here bt nominate** the following person(s) who shall receive all assests held in my / our account in the event of my / our death.

Nominee 1

Mandatory Details	
1. Name of Nominee 1	
2. Share of Nominee 1 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 1	
9. Guardian	

Nominee 2

Mandatory Details	
1. Name of Nominee 2	
2. Share of Nominee 2 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 2	
9. Guardian	

Nominee 3

Mandatory Details	
1. Name of Nominee 3	
2. Share of Nominee 3 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 3	
9. Guardian	

Nominee 4	
Mandatory Details	
1. Name of Nominee 4	
2. Share of Nominee 4 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 4	
9. Guardian	

Nominee 5	
Mandatory Details	
1. Name of Nominee 5	
2. Share of Nominee 5 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 5	
9. Guardian	

Nominee 6	
Mandatory Details	
1. Name of Nominee 6	
2. Share of Nominee 6 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 6	
9. Guardian	

Nominee 7	
Mandatory Details	
1. Name of Nominee 7	
2. Share of Nominee 7 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 7	
9. Guardian	

Nominee 8	
Mandatory Details	
1. Name of Nominee 8	
2. Share of Nominee 8 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 8	
9. Guardian	

Nominee 9	
Mandatory Details	
1. Name of Nominee 9	
2. Share of Nominee 9 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 9	
9. Guardian	

Nominee 10	
Mandatory Details	
1. Name of Nominee 10	
2. Share of Nominee 10 (%)**	
3. Relationship	
4. Postal Address	
5. Mobile Number	
6. Email ID	
7. Identity Number***	
Additional Details****	
8. Date of Birth of Nominee 10	
9. Guardian	

* Joint Accounts:	
Event	Transmission of Account/ Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division/ fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required. However, in case of NRI/ OCI/ PIO, Passport number is acceptable.

**** to be furnished only in following conditions/ circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

1. I/ We want the details of my/ our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC/ DP as follows; (please tick, as appropriate)

Name of Nominee(s)	Nomination (Yes/ No)	
1.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.	<input type="checkbox"/> Yes	<input type="checkbox"/> No

2. I hereby authorize _____ (Nominee No. ____) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the Circular issued by the Securities and Exchange Board of India bearing Reference No. SEBI/HO/OIAE/OIAE_IAD-3/P/ON/2025/01650, dated January 10, 2025 ("**SEBI Circular**"). He/ She is authorized to encash my assets up to ____% of assets in the account/ folio or ₹ _____. (Optional) (strike off portions that are not relevant)

3. This nomination shall supersede any prior nomination made by me/ us, if any.

4. Signature(s) – As per the mode of holding in demat account(s)/ MF folio(s):

Name(s) of Holder(s)	Signature of Holder(s)/ Thumb Impression	Signature of two Witnesses*	Name of Witness & Address (wherever applicable)*
Sole/ 1 st Holder (Mr./ Mrs.)			

*Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement and Obligation of the Investor and Nominee:


- If you are opening a new demat account/ MF Folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of the SEBI Circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC/ DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account/ folio.
- In case all your nominees do not claim the assets from the AMC/ DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account/ folio, in case of your physical incapacitation, at any point of time and not just during opening of account/ folio. This mandate can be changed any time you choose.


- The signatories for this nomination form shall be as per mode of holding in the folio(s)/ demat account(s) i.e.
 - o 'Either or Survivor' Folios/ Accounts - any one of the holders can sign
 - o 'First Holder' Folios/ Accounts - only First Holder can sign
 - o 'Jointly' Folios/ Accounts - all holders have to sign


Transmission Aspects

- AMCs/ DPs shall transmit the folio/ account to the nominee(s) upon receipt of: 1. copy of death certificate: and 2. completion/ updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account/ folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC/ DP.
- In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below:

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0%	0%	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%		40%	60%	100%

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

Declaration for Opting Out of Nomination Form

To,
The Depository Participant Name
Address

Dear Sir/Madam,

I/We hereby confirm that I/We do not wish to appoint any nominee in my /our demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the demat account.

[Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

The Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

(To be filled by DP)


Nomination Form accepted and registered wide Registration No. _____ dated _____

For Depository Participant
(Authorised Signatory)

Mode of Operations for Sole/First Holder (In case of joint holdings, all the holders must sign. In case of HUF this is not applicable)

<input type="checkbox"/> Any one Singly	<input type="checkbox"/> Jointly by	<input type="checkbox"/> As per resolution	<input type="checkbox"/> Others (please specify)
---	-------------------------------------	--	--

Declaration: The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

===== (Please Tear here) =====

Acknowledgement Receipt

Received nomination from :

DP ID										Client ID										
Name																				
Address																				
<u>No Nomination</u>		<input type="checkbox"/> Would like to opt out nomination.																		
Registration No.												Registered on	D	D	M	M	Y	Y	Y	Y



Prosperity Through Experience, Since 1958

Date : _____

Dear Sir,

I/we _____

Have BO A/c No.

1	2	0	4	7	8	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

and Trading code No.

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

In reference to my / our dealings with you as your client. We authorise to send digitally signed contract notes / Bill /Ledger A/c / demat statement / demat holding at my / our following email-id.

In electric form duly authenticates by means of a digital signature as specified in the information technology act 2000 & rules made there under to any of my / our self created email id mentions below :

Pursuant to amendment in clause 3 of the agreement annexure C to the by laws of (CDSL). I/we confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode.

I further hereby consent to the following :

- 1) It is being informed that non-receipt of bounced mail shall amount to deliver of contract notes / documents / demat statement.
- 2) I/we confirm that dispatch of documents to me / us constitute full and absolute discharge of your obligation. I/we confirm that one you send the said documents to my email ID this may treat the same as received by me/us.
- 3) In case of any Query I/we shall intimate you with in reasonable time to your designated email gandhinagar@manashvi.com
- 4) In case of any changes in my / our said email-id I/we undertake to intimate the same to you in writing.
- 5) Non verification or not accessing the contract notes or account statement of regular basis from my/our part shall not be reason for disputing the contract not at any time.

Email ID	1 _____
	2 _____
	3 _____

X _____
Sole / First Holder
Signature

X _____
Second Holder
Signature

X _____
Third Holder
Signature

MANDATORY

K. INTRODUCER DETAILS (optional)

Name of the Introducer	(Surname)	(Name)	(Middle Name)
Status of the Introducer	<input type="checkbox"/> Sub-broker	<input type="checkbox"/> Remiser	<input type="checkbox"/> Authorized Person
	<input type="checkbox"/> Existing Client	<input type="checkbox"/> Others, please specify	
Address of the Introducer			
Phone Number		Signature of the Introducer	

L. ADDITIONAL DETAILS

Whether you wish to receive Electronic Contract Note or Physical Contract Note (ECN) please specify	<input type="checkbox"/> Electronic Contact Note	<input type="checkbox"/> Physical Contact Note
Whether you wish to avail of the facility of internet trading/wireless technology (please specify)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Number of years of Investment/Trading Experience		
In Case of sole proprietor / partnership, please indicate the name and address of the firm		
SMS and email alerts to investors by stock exchanges. (Please tick whichever is applicable.)	<input type="checkbox"/> SMS	<input type="checkbox"/> Email <input type="checkbox"/> Both
If an existing mobile number/email address is used, please specify the relationship and PAN of the email address/mobile number owner	<input type="checkbox"/> Self	<input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Parent <input type="checkbox"/> Dependent Child
	PAN	
Any other information		




N. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE & BSE	
All Segments	Cash / Mutual Fund	F&O
	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>


If you do not wish to trade in any of segments / Mutual Fund, please mention here


O. DEMAT PREFERENCES


Name of Holder(s)	Signature(s)
Sole/First Holder / Guardian (in case of minor) (Mr. / Mrs.)	<input checked="" type="checkbox"/>  1st Holder Signature
Second Holder (Mr. / Mrs.)	<input checked="" type="checkbox"/>  2nd Holder Signature
Third Holder (Mr. / Mrs.)	<input checked="" type="checkbox"/>  3rd Holder Signature

T. DECLARATION

1. The rules and regulations of the Depository and Depository Participants/Capital Market pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or mis representing, I am/we are aware that I/we may be held liable for it. In case of non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.
2. I/we understand and acknowledge the fact that I/we am/are at liberty to withdraw all or any of the information provided by me/us and the same shall be made by me/us in writing. I/we confirm and accept that such withdrawal shall entitle you to withdraw all or any of the services provided to me/us under the account opening documentation.
3. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant". client registration form, rights and obligations documents, Policy & Procedures Tariff sheet, all Non mandatory documents, guidance documents along with the supporting documents.
4. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
5. I/We further confirm having read and understood the contents of the "Rights and Obligations of Stock Brokers, Sub-Brokers and Clients" as prescribed by SEBI and Stock Exchanges and the "Risk Disclosure Document for Capital Market and Derivatives Segments". I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stockbroker's designated website, if any.
6. *I/We acknowledge the receipt of copy of the document, "Additional Rights and Obligations for Broking and Other Products and Services." I/We understand and acknowledge that this is voluntary (non-mandatory) document for availing value added products and services as provided by Manashvi Securities Ltd. and unconditionally agree to abide by the terms and conditions mentioned therein. I agree that I will receive an electronic copy/ physical copy of the said document after I am enrolled as a client of Manashvi Securities Ltd.
7. I/We also confirm the details submitted by me/us are true, complete and correct to the best of my/our knowledge. In case the changes are not informed I/we will be solely responsible for any loss incurred due to the same.

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

Place :

(Full signature required)

Date :

Signature of Trading Account Holder

INSTRUCTIONS / CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list : Copy of ITR Acknowledgment Copy of Annual Accounts

In case of salary income - Salary Slip, Copy of Form 16.	Net worth certificate
Copy of demat account holding statement	Bank account statement for last 6 months.
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

**In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

2. Copy of cancelled cheque leaf I pass book /bank statement specifying name of the constituent, MICR Code or land IFSC Code of the bank should be submitted.

3. Demat master or recent holding statement issued by DP bearing name of the client.

4. For individuals:

a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker / sub-broker's office.

b. In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

5. For receiving Statement of Account in electronic form:

a. Client must ensure the confidentiality of the password of the email account.

b. Client must promptly inform the Participant if the email address has changed.

c. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

6. To register for easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

FOR OFFICE USE ONLY

UCC Code allotted to the Client		
	Documents verified with Originals	Client Interviewed by
Name of the Employee		
Employee Code		
Designation of the employee		
Date		
Signature		
Place		

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Signature of the Branch Manager

Date :

Seal/Stamp of MANASHVI SECURITIES LTD.

In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary / Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors / two directors Incharge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures.
Army / Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee with resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman / Secretary.

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 2018, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees / Charges / Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and /or Depository circulars / directions / notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 2018 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
13. The stock broker / stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (PoA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute PoA or DDPI.

Statement of account

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI / depository in this regard.
15. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
16. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
17. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

18. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the

Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

22. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing / Defreezing of accounts

23. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
24. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.
25. The Joint holders are aware that in case of any Statutory Order for freezing any one joint holder, the demat account will be frozen and the other joint holders will have to obtain a specific Order for unfreezing their percentage of joint ownership by submitting the relevant documentary proof to the Order issuing authority.

Redressal of Investor grievance

26. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

27. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

28. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
29. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Byelaws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
30. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
31. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
32. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
33. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

 X

Sole / First Holder
Signature

 X

Second Holder
Signature

 X

Third Holder
Signature

POLICIES & PROCEDURE

In order to ensure proper compliance with various Notices/Circulars/ Guidelines issued by SEBI / Exchanges and uniformity the **MANASHVI SECURITIES LTD.** has prescribed the Policies & Procedure as under for guidance of all employees in HO / all Branches which is to be strictly followed by all for dealings with clients.

[1] Refusal of orders for penny stocks

MSL does not encourage trading in penny stocks or securities falling in T2T or Z group of BSE and NSE. Member reserves its right to refuse orders in such securities from the clients desiring to deal in such shares, stocks, securities. Under exceptional circumstances and considering merits on case to case basis, trading in penny stocks T2T/Z category would be allowed to clients on delivery basis subject to stringent verifications of client holdings, intentions and bonafide reasons given by the intending clients.

There is no formal list of the "Penny Stocks" issued by the Exchanges/SEBI. However there are shares of certain companies which are called/Known as "Penny Stocks" in the Market. These shares may be part of the list of "illiquid stocks" issued by the Exchanges from time to time but not necessarily so in all cases. As dealing in these stocks (in physical form or demat form) is risky. The Company (as a stock broker) may declined to carry out Buy/Sale Order/ s of any client for so called "Penny Stock."

[2] Setting up of Client's Exposure limits

The Company will fix the limit in value for each and every Scrip for which Buy/Sell Order 's of any particular Client will be executed in normal course based on "Income level" for the year confirmed by the Client / Sub broker / Remiser and other considerations. The Company will not disclose the rational/logic of their decision (about fixation of the monetary limit). However if requested by the Client for any specific transaction/s to be carried out as a special case then it may be considered on merit. The company will set up client's exposure limits on the basis of their respective ledger balance collateral provided, security deposit etc across all the exchange for F & O Purpose.

[3] Applicable Brokerage Rate

At present SEBI permits maximum brokerage @2.5% of contract price . The Company charge brokerage to their Clients at the rate/s below this maximum limit. Each client will be intimated the rate at which brokerage will be charged to him / her / it. This rate will be revised by the Company based on volume of the Client's business / market conditions / other relevant factors. Any change in the rate of brokerage will be intimated to the Client.

4) Imposition of Penalty/Delayed Payment Charges to Clients, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws) :

As per regulation the client should pay his pay in obligation of funds on or before one day for the Exchange pay in day. We make the policy of charging penalty /delayed payment charges to discourage client in making late/Delayed payment. Clients will be liable to pay late pay-in/delayed payment charges not exceeding 2% per month for not making payment of their pay-in obligation on time as per the exchange requirement or net ledger debit balance as applicable. The client agrees that MANASHVI SECURITIES LTD. may impose fines/penalties for any orders / trades/ deals/actions of the client which are contrary to this agreement / rules / regulation / bye laws of the exchange or any other law for the time being in force , at such rates and in such form as it may deem fit. Further where MANASHVI SECURITIES LTD. has to pay fine or bear any punishment from any authority in connection with/as a consequence of / in relation to any of the orders/trades/deals/actions of the client, the same shall be borne by the client.

All Clients other than those who have agreed to have a "Running Account" with the Company will have to make payments due to the Company on due dates so in all cases if payment is not made to the Company on due date after considering three working days as Grace period company can sell clients outstanding to clear its debit. The company will make payments to all clients other than those who have agreed to have "Running Account" on due date.

The Company will make payments to all clients other than those who have agreed to have "Running Account" on due date .



Sole / First Holder
Signature



Second Holder
Signature



Third Holder
Signature

[5] The right to sell client's securities or close client's position, without giving notice to the client, on account of non payment of client's dues.

MANASHVI SECURITIES LTD., shall be entitled to liquidate client's securities; both unpaid securities as well as collaterals deposited, benefit / credit for the same only on the realization of clear proceeds in MANASHVI SECURITIES LTD. bank account. Where the margin/security is made available by way of securities or any other property, MANASHVI SECURITIES LTD. is empowered to decline its acceptance as. margin/security and/or accept it as such reduced value as MANASHVI SECURITIES LTD. may deem fit by applying haircuts or by valuing it by marking it to market. The stock broker has the sole discretion to decide referred stipulated margin percentage depending upon the market conditions. The above action is at the sole discretion of MANASHVI SECURITIES LTD. and may vary from client to client. It shall not be under any obligations to undertake the exercise compulsorily. MANASHVI SECURITIES LTD. shall therefore not be under any obligation to compensate or provide reasons of any omission or delay on its part to sell client's securities or close open positions of the client.

[6] Shortages in obligations arising out of internal netting of trades

In case of BSE, shortages arising out of internal netting of trades are given for self-auction to the exchange through exchange mechanisms.

In case of NSE, shortage arising out of internal netting of trades client shortage are closed out by the company as per guidelines issued from time to time by the exchange.

In case of shortages due to internal netting of trades, if the Company is required to pay any penalty to the exchange/s it will be charged to the client concerned.

Shortage : In case of purchase of securities by the client, at times the stock broker may be unable to deliver the securities to said purchase on the payout day due to non-receipt of the securities from the stock exchange's or in case of non-receipt of the said securities from another client of the stock broker who has sold the securities against the said purchase transaction. In cases of short delivery from the exchange the securities shall be delivered to the purchaser on the deliveries received from the exchange and in cases of short delivery due to non-receipt of the said securities from another client of the stock broker, the securities shall be delivered to the purchaser as per the policy of the exchange.

[7] Conditions under which a client may not be allowed to take further position or broker may close the existing position of a client.

It is to be noted that under following circumstances any client will not be allowed to take further position or the Company may close that particular Client's position (i) if the particular Client is a Defaulter of amount due to the Company (ii) Value of the Collateral is not sufficient to meet the Losses incurred by the Client (iii) If according to the Company Market Conditions has turned or likely to turn unfavourable based on the information available or its is the view of the matter taken by the Company. (iv) that particular Client is barred from Trading on the Exchange/s by SEBI / Exchange/s. (v) Political/financial instability in the country or otherwise.

[8] Temporarily suspending or closing a client's account at the client's request

It is to be noted that under following circumstances any particular Client's Account may be Suspended / Closed (i) On receipt of Written Instruction from that particular Client (ii) that particular Client is barred from Trading on the Exchange/s by SEBI / Exchange/s (iii) The particular Client who has defaulted in making payment on due date to the Company (iv) on receipt of any adverse information by the Company about the particular Client.

[9] De-Registering a client.

It is to be noted that any particular Clients who is/are covered under any of the following category will deregistered at our discretion.

(i) that particular Client/s is/are barred from Trading on the Exchange/s by SEBI / Exchange/s (ii) The particular Client who has defaulted in making payment on due date to the Company (iii) on receipt of any adverse information about the particular Client (iv) The Client NOT trading through the Company continuously for more than 12 months. (v) The death of the client.

[10] Treatment of Inactive Accounts

Client having no trades during 24 months (excluding those who have registered during last financial year) will be treated as inactive clients and their status will be marked accordingly in to back office system after settling dues and returning collaterals if any. Re activation of such clients will be done only after due diligence by concerned authorities.

I/We have read, understood the above and state that I will strictly abide by the condition laid in this policy.

 X

Sole / First Holder
Signature

 X

Second Holder
Signature

 X

Third Holder
Signature

**Policy on Handling of Good Till Cancelled (GTC) /
Good Till Triggered (GTT) Orders**

Good Till Cancelled (`GTC') / Good Till Triggered (`GTT') is a feature which allows you to set a trigger price, such that; if your trigger price is hit at a future date, an order will be placed on the exchange as per the limit / market price and preset conditions set by you.

Features of GTT:

Accessibility:

- **GTT** features available on client's online trading login (i.e., **IBT / STWT**) only.

Product:

- **GTT** can be used only for CNC type orders in the Equity Cash segment and NRML type orders in the Equity Derivatives segment.

Order Validity:

- **GTT** order will be valid for a period of 365 days for Equity and until contract expiry for Equity Derivative segment.
- Orders remain in the system until a pre-defined **GTT** trigger condition (price or time-based) is met OR the order is cancelled by the client.
- Trigger validity will be one time till the order is placed on the exchange.

Handling of GTC/GTT Orders during Corporate Actions:

- No handling will be done by **Manashvi Securities Ltd** and the client's need to monitor and will be solely responsible for effect on prices and triggers based on stocks / underlying specific corporate actions.
- Client shall review their **GTT** orders **proactively** whenever there may be an impact of corporate action on their order/s. It would be the responsibility of the client to take appropriate action to modify / cancel orders accordingly.
- In the event of a corporate action, such as stock split, bonus issue, rights issue, mergers, or any other action that affects stock prices or volumes, the following mechanisms will be applied:

Order Cancellation: **GTT** orders that remain unexecuted at the time of the corporate action may be cancelled to prevent any unintended execution at erroneous prices. The client will be notified promptly of the cancellation.

Order Retention: In some cases, where the corporate action is not expected to result in substantial changes to stock prices (e.g., dividend announcements), the unexecuted **GTT** orders may be retained.

Execution Price - Can be at market or limit as per the order set. For market order in derivatives, the order is placed as a limit order with market protection to avoid execution at extreme prices.

When using **GTT** with limit price, there is no **guaranteed** execution as the LTP could have changed when the order is placed post trigger.

Order Cap: A maximum of 200 open **GTT** orders (across all exchanges and segments can be placed by the client at any given point of time.

Charges: Nil - There are no additional charges for placing a **GTT** order.

Margin Availability: No funds / margin is blocked when placing a **GTT** order. Margin is required when the trigger price is hit. If sufficient margin is not available at the time of trigger, the order placement will fail.

Once a **GTT** is triggered, if the order is placed outside of the contracts / underlying / stock's execution range, it may be cancelled by the exchange. Any cost incurred due to such cancellation of an order is liable to be paid by the client only.

If a **GTT** is triggered for an option contract that isn't allowed for trading by **Manashvi Securities Ltd**, for any reason, the order may be rejected.

The responsibility of monitoring a pending **GTT** order for scrip / underlying / contract before placing any new orders for the same is on the client.

GTT orders will be triggered as per the price available on any of the Exchange i.e., NSE or BSE.

The Call & Trade feature at **Manashvi Securities Ltd** shall not be allowed for clients using the **GTT Feature**.

This Terms of Usage and the Service of **Manashvi Securities Ltd** placing orders under the **GTT features** may be removed/modified/replaced at any point of time without providing any prior notification to you. It is your responsibility to review this Terms of Usage every time you use the **GTT Feature**.

Manashvi Securities Ltd shall not liable for any non-execution / any lost opportunity of investment for **GTT feature**. Client shall solely liable for any loss, damage or other charges with respect to using the **GTT feature**.

.....
CLIENT SIGNATURE

(1) _____ (2) _____ (3) _____



Prosperity Through Experience, Since 1958

Terms and Conditions- Cum- Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai - 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service".
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the Bos who are residing in India.
3. The alerts will be provided to the Bos only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e, to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e, unable to receive the alerts then the BO may not get /get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and / or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred / suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to / transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and / or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use / misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I / We consent to CDSL providing to the service provider such information pertaining to account / transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee / charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am / are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (please cancel out what is not applicable).

BOID	1	2	0	4	7	8	0	0											
	(Please write your 8 digit DP ID)								(Please write your 8 digit Client ID)										

Sole / First Holder's Name : _____

Second Holder's Name : _____


Third Holder's Name : _____

Mobile Number on which message are to be sent

+91																		
(Please write only the mobile number without prefixing country code or zero)																		

The mobile number is registered in the name of _____

Email ID _____
 (Please write only ONE valid email ID on which communication, if any, is to be sent)

 _____
 Sole / First Holder
 Signature

 _____
 Second Holder
 Signature

 _____
 Third Holder
 Signature

Place :

Date :

TARIFF SHEET

CASH SEGMENT

Brokerage Slab	Slab %	Minimum Paise	One Side / Both Side
Delivery Based			
Daily Square up			

DERIVATIVES SEGMENT

Brokerage Slab	Slab %	Minimum Paise / Per Lot	One Side / Both Side
Future			
Option			

- MAXIMUM BROKERAGE - 2.5% of Contract price.
- Brokerage as mutually agreed. This may change from time to time as mutually agreed.
- Service Tax will be charged at actuals as per Central Govt. rates which may be charged time to time
- STT will be charged at actuals as per Central Govt / State Govt. rates which may be changed time to time.
- Stamp Duty will be charged at actuals as per Central Govt / State Govt. rates which may be changed time to time.
- Transaction charges as applicable by the relevant exchange Plus service tax on Transaction Charges as applicable.
- SEBI turnover Fees at actuals as per SEBI Rates which may be charged time to time.

PREFERENCE FOR RECEIPT OF DOCUMENTS

I wish to receive the KYC documents.

In Physical

(RDD, Rights & Obligations & trading& demat & Do's & Don'ts & Other Documents.)

In electronic


CONSENT LETTER TO UPDATE MOBILE NUMBER AND EMAIL ID IN DEMAT AND TRADING ACCOUNTS


Pursuant to SEBI circular bearing Ref.CIR/MIRSD/15/2011 dated August 2, 2011. please note that the mobile number and E-mail ID Mentioned by me in the KYC/KRA/CKYC from belongs to

Mobile No.	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son/Daughter <input type="checkbox"/>	Father/Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>
Email ID.	My Self <input type="checkbox"/>	Spouse <input type="checkbox"/>	Son/Daughter <input type="checkbox"/>	Father/Mother <input type="checkbox"/>	Not Available <input type="checkbox"/>

Name of Person(Mobile) _____

Name of Person(E-mail) _____

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

MANASHVI SECURITIES LIMITED

DP ID: 47800

DP SEBI REG NO. IN-DP-CDSL-215-2003

SCHEDULE OF CHARGES: DEMAT

		Scheme A	Scheme B	Scheme C	Scheme D	Scheme E	Scheme F (BSDA)
Stamp Charges		300/-	300/-	300/-	300/-	300/-	300/-
Deposit		Nil	Rs.2000/- Deposit would be taken as of relevant year in case of closure of the account.	Rs 4000/- Deposit – Minimum Lockin period 2 Years (If close before 2 years, Year wise AMC applicable as per prorate)	Free	Rs. 900/- Non Refundable	Stock Value upto Rs. 4,00,000/- Nil 4,00,000/- to 10,00,000/- Rs.100/-, 10,00,01/- Above - Scheme A * Note : if the value of holding in such BSDA exceeds the prescribe criteria at any date AMC charges as applicable to regular accounts AMC Rs. 350/-.
AMC		Rs. 350/-	Nil	Nil	Free	Nil	
AMC for Corporate A/c.		Rs. 850/-	N.A	N.A	N.A.	N.A.	
Transaction Charges (Per ISIN)	POA Transaction (on & EP)	Rs.12/-	Rs.15/-	Rs.12/-	Rs.25/-	Rs.17/-	
	Off market	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	0.010 % of transaction value. Subject to minimum Rs. 15/- and maximum Rs 25/-	Rs.25/-	0.010 % of transaction value. Subject to minimum Rs. 17/- and maximum Rs 25/	
	Inter DP	Rs.25/-	Rs.25/-	Rs.25/-	Rs 25/-	Rs 25/-	
Demat Charges (Per DRF)		Rs.75/-	Rs.75/-	Rs.75/-	Rs.75/-	Rs.75/-	
Remat Charges (Per RRF)		Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	Rs 15/- + Courier Charges of Rs 35/-	
Pledge Services (Per ISIN) Creating/Closure/Invocation)		Rs 50/- Per request	Rs 50/- Per request	Rs 50/- Per request	Rs 50/- Per request	Rs 50/- Per request	
Pay In Day Sell/Failed Instruction (Per ISIN)		Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-	Rs.10/-	
Modification Charges		Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	Rs 25/- (Address & Bank details change)	
Extra Statement Charges		Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement	Rs 15/- Per statement	
Margin Pledge		Rs 20/-	Rs 20/-	Rs 20/-	Rs 25/-	Rs 20/-	

*For Scheme B –AMC Would be taken as of last year in case of closure of the account.

PLEASE NOTE:

- (1) All Transaction charges are variable.
- (2) All Charges mentioned above are inclusive of CDSL charges.
- (3) GST is not included in above charges.
- (4) DIS Lost/Misplace charge per request Rs.100/-
- (5) The above charges are subject to change with 30 days notice. However, in case of revision of charges by CDSL a shorter notice may be given
- (6) Transaction Charges once paid will not be refunded.
- (7) It is purely Discretion of company regarding shifting of above schemes.
- (8) AMC will levy on pro-rata basis for the first financial year. There after AMC shall be levied up -front in April on all accounts outstanding as at the end of March every-year. AMC will be refunded on pro-rata basis if account close during financial year
- (9) In addition to stamp paper charges and AMC for the first year payable by new clients in advance payment of Rs. 350/- shall be collected for adjustment against future transaction charge for existing client and new clients.
- (10) The depository service may be temporarily disconnected if cheque given for availing DP services is returned unpaid. Intimation of discontinuation of the DP services shall be in accordance with the rules specified by CDSL. The DP services shall be resumed after recovery of the returned cheque plus Rs. 100/- as additional charges.
- (11) All pledging service (creation, closure and invocation) shall be separately charged at the time of each transaction.
- (12) Charges quoted above are for the services listed. Any services not quoted above will be charged separately
- (13) I/We understand that in case of any non compliance of the Byelaws, Rules and regulations as lay down by SEBI/Depositories, and for any other matters that may be decided by you from time to time, you may charge penalty on me. Such penalty amount shall be directly debit to my Trading Account or otherwise.

Please select Scheme (any one)

Scheme A

Scheme B


Scheme C


Scheme D

Scheme E

Scheme F

I/We have read and understood the charges and condition as above and agree to abide by the same.

 X _____
Sole / First Holder
Signature

 X _____
Second Holder
Signature

 X _____
Third Holder
Signature



Date :

To,

(Client name)

Dear Sir,

Sub.: Disclosure of Proprietary Trading

The securities & exchange board of India (SEBI) vide circular no. SEBI / MRD / SE/ cir -42 / 2003, 19th November 2003, has advised the trading member to inform / disclose to their clients if the are doing cliental business and proprietary trading as well.

Our company is engaged mainly on cliental business. However, we wish to inform you that our company. doing some amount of proprietary trading, which is normally in the nature.

You are requested to note the aforesaid disclosure for the future dealing with us.


Kindly accept and acknowledge.


Thanking You,

Your Faithfully,

For MANASHVI SECURITIES LTD.

Authorised signature

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

Non-Mandatory Document

**Annexure
Securities Trading using Wireless Technology**

To
Manashvi Securities Ltd.
524 P. J. Towers,
Dalal Street, Fort,
Mumbai 400 -001

Date :

Exchange(s) :

Dear Sir,

Sub: Securities trading using wireless technology

I/We _____ am/are registered as your client with Client Code No. _____ and have executed the Trading Member and Client Agreement and also the agreement for Internet based trading for the purpose of trading in the Capital Market segment, Futures & Options segment of National Stock Exchange of India Ltd. (NSE) and Capital Market segment of Bombay Stock Exchange Ltd (BSE)

I/We am/are interested in carrying securities trading through use of wireless technology which shall include devices such as mobile phone, laptop with data card, etc using Internet Protocol (IP)

I / We understand that the terms and conditions applicable to Internet based trading will also be applicable to securities trading through use of wireless technology. I / We am /are made aware by you regarding all the possible risks, responsibilities and liabilities associated with securities trading using wireless technology.

I/We understand that you shall provide information with respect to the addresses of Internet web site / web page where detailed information would be available about securities trading done through the use of wireless technology.


I/We understand that you shall send the Order / Trade confirmation details on our existing **E-mail id** _____ on execution of order / trade on the NEAT system, as the case may be. We agree that the information sent by you on our E-mail id would be deemed to be a valid delivery of such information by you. The aforesaid information regarding order and trade confirmation shall also be provided to me / us on the device used for securities trading through the use of wireless technology.


I/We am / are aware that authentication technologies and strict security measures are required for the securities trading using wireless technology through order routed system and undertake to ensure that our password is not revealed to any third party.

I/We therefore request you to enable me / us for carrying securities trading through use of wireless technology. We understand that if any charges will applied by exchange charged per month same as exchange tariff.

We give our consent to continue with the trading terminal and debit of applicable charges in our client code.

Thanking you,
Yours faithfully,

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

Name :
Place :



Prosperity Through Experience, Since 1958

Date :

Dear Sir

Consolidated Letter of Authority

I/We have been regularly trading and investing, or plan to do so, with you at The Stock Exchange Mumbai (BSE) & National Stock Exchange of India Ltd. (BSE Cash / NSE Cash & NSE F&O Segment) To Facilities ease of operations, I/We request and authorize you as under.

1. My/our order for purchase/sale of shares and securities will be given telephonic or orally dealing my/our visit to your office.
2. I/We hereby authorize you not to provide me/us Order confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary Paperwork. I/We shall get the required details from contract note issued by you.
3. I/We agree to collect the contract notes/bills/account statements and other relevant documents for the deals executed and in case of non-collection, you may dispatch the same through ordinary post, courier or through any other mode at the address provided by me/us and it shall be deemed to be effective delivery of the same to me/us.
4. I/We hereby authorize you to undertake any transfer inter-se of funds, securities, debits & credits available between various segments. i.e. BSE capital market and futures & options / derivatives segments.
5. I/We hereby authorize you to maintain my/our account, both funds & securities, with you on running account basis and request you to consider the balances in my/our running funds and securities account with you for the purpose of margins / any other obligations due to you. In view of the same it would be proper to release the funds and securities due to me/us on my/our specific request, either written or oral
- 5a. I/We reserve the right to revoke authorization at any time given to you as per paragraph 5 above to maintain Funds and Securities, Mutual and Current Running Account basis by giving a written request for revocation.
6. I/we agree and authorize you to debit charges for depository services and any other incidental charges to my / our BSE ledger account.
7. I/We hereby authorize you to liquidate / close out / sell all or any of my/our positions of share dealing with you for non-payment of margins or other outstanding debts due to us. I/We agree to bear any and all losses and financial charges on account of such liquidation/close out.
8. I/We agree that you may refuse to execute any particular transaction without assigning any reason therefore.
9. I/We agree not to hold you liable or responsible for delay or default in performance of your obligations due to contingencies beyond your control such as fire, flood, civil commotion, earthquake, riots, war, Strikes, failure of systems, failure or internet links, Government/ regulator actions or any such other contingencies which may be beyond our control.
10. My/our preference for actual settlement of funds and securities is at least.
11. The client agrees & authorizes the Stockbroker to instruct the concerned depository participant to block any security sold from the designated security account against his order or the order of his power of attorney holder to sell securities. If their order gets executed either fully or partially then the securities sold would be transferred to the stockbroker's account. The client further agrees and confirms that the client will not pledge or otherwise use any security that has been blocked by the concerned depository participant on the instructions of the stock broker, the client or by its power of attorney holder at any time, and the client authorize the stock broker to instruct the concerned depository participant on client's behalf to dishonor any such instructions.
12. Restriction / Regulation on Dealings : The parties shall mutually decide, from time to time, the volume of business which the client may transact during any trading day on any Exchange. not with standing such an mandatory and voluntary client registration document / arrangement between the parties, the stock broker shall have absolute discretion to reduce the volume of business of the client or restrict dealings by the client without any prior notice to the client having regard to
 - i) The volatility in the market
 - ii) In view of impending price sensitive announcements;
 - iii) Any restriction in relation to volume of trading / outstanding business or margins stipulated by any exchange;
 - iv) Political instability in the country;
 - v) Presence of any other price sensitive factors;

 Once in a Calendar Quarter

 Once a Calendar Month

Thanking you,

Yours truly

Sole / First Holder
Signature

Second Holder
Signature

Third Holder
Signature

Client Name: _____

UNDERTAKING FOR SUBMISSION OF DEMAT INSTRUCTION SLIP

Date : _____

To,

MANASHVI SECURITIES LTD.

Dear Sir,

In reference to my / our Demat Account with you as your client, I/We hereby agree with your policy regarding accepting of Demat. Instruction Slip for pay-in other than MANASHVI SECURITIES LTD. and all other off Market and Inter DP Instruction Slip to be accept physically up to 5.30 p.m.

I/We confirm that I / We fully responsible for any error occurred for late submission of Demat Instruction Slip.

Thanking You,

ADJUSTMENT OF CDSL BILL WITH TRADING ACCOUNT

To,

MANASHVI SECURITIES LTD.

Dear Sir,

I _____ having a Beneficial Demat

Account No.

1	2	0	4	7	8	0	0							
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--

 & Trading A/c. No. _____ with

you, I hereby Authorise you to sett off / Adjust my Debit Balance of Demat Account with my Trading A/c.

from time to time.

Thanking You,

Date :

To,
Manashvi Securities Limited,
Gandhinagar.
Sub : BSDA
Ref: 12047800 _____

Dear Sir,

I / We _____ give you consent for
BSDA (basic service demat account) / regular demat account.

- BSDA (Charges will be applicable as per BSDA)
- Regular Demat (Charges will be applicable as per normal demat account)


Thanks


Additional

Consolidated Account Statement

- Yes
- No

Note : Charges will be applicable as per our terriff structure (Please refer extra statement charges)

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

DP ID								Client ID							
First Holder Name															
Second Holder Name															
Third Holder Name															

To,
Depository Participant Name
Address

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my / our CDSL account though I / we have issued a Power of Attorney (POA) / registered for eDIS / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney holder -Clearing Member / by PMS manager/ for executing delivery instructions through eDIS.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

OR

OPTION 2:

I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a POA/ registered for eDIS / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such Power of Attorney Holder - Clearing Member / by PMS manager or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

===== (Please Tear Here) =====

Acknowledgement Receipt

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET from :

DP ID								Client ID							
Name of the Sole / First Holder															
Name of Second Joint Holder															
Name of Third Joint Holder															

Depository Participant Seal and Signature



BSDA OPT-OUT / DEACTIVATION CONSENT LETTER

To,
The Depository Participant
Manashvi Securities Limited
Gandhinagar.

Subject: Request for Opting Out of Basic Services Demat Account (BSDA) facility

Dear Sir/Madam,

I/We, the undersigned, holding Demat Account with details mentioned below, hereby request you to **opt out / deactivate the Basic Services Demat Account (BSDA) facility** in my/our demat account.

Account Details:

- **DP ID:** _____
- **Client ID:** _____
- **Name of First Holder:** _____
- **Name of Second Holder (if any):** _____
- **Name of Third Holder (if any):** _____

I/We hereby declare and confirm that:

1. I/We voluntarily wish to **opt out of the BSDA facility**.
2. I/We understand that upon opting out, my/our account will be treated as a **Regular Demat Account**, and applicable charges will be levied as per tariff.
3. I/We am/are aware of the **terms, conditions, and charges** applicable to a regular demat account as prescribed by Securities and Exchange Board of India and Central Depository Services Limited.

I/We request you to process the same at the earliest.

Thanking you,

Yours faithfully,

Signature(s):

 _____
Sole / First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature



Prosperity Through Experience, Since 1958

CIN-U67120MH1997PLC110688

Member of BSE Cash Segment Clg No. 697 SEBI Regn. No. INZ 000225733 MEMBER OF CDSL- SEBI Regn. No IN-DP-CDSL-215-2003 Member of NSE Cash & Derivatives Segment Code 09820 SEBI Regn. No. NSE CASH / F & O/ INZ 000225733

Regd. Office: 524, P.J. Tower, Dalal Street, Fort, Mumbai –400023. Tel: 022-40139477, 022-40139577, **Web Side:** www.manashvi.com
Corporate Office: 417/A, Anjani Complex, Pereira Hill Road, Opp. Gurananak Petrol Pump, Nr. WEH Metro Station, Andheri (E) Mumbai-400 099
 022-40139577, 022-40139477 **Email:** manashvi@manashvi.com **Branch Office:** 556 1st Floor Opp. Tejas Society,
 Sector-23, Gandhinagar-382 024. Tel: 079-68101112 Fax: - 079-68101105 & 562/1 Arambh Opp. Tejas Society, Sector-23,
 Gandhinagar-382 024. Tel: 079- 68101107/08 **Email :** gandhinagar@manashvi.com

FATCA/CRS DECLARATION FOR INDIVIDUALS ACCOUNTS

Note – The information in this section is being collected because of enhancements to Manashvi Securities Limited's account on-boarding procedures in order to fully comply with Foreign Account Tax Compliance Act (FATCA) requirements and the Common Reporting Standards (CRS) requirements pursuant to amendments made to Income-tax Act,1961 read with Income-tax Rules, 1962.

For more information refer:

http://www.incometaxindia.gov.in/dtaa/other%20agreements/india_iga_final-india_english.pdf

<http://www.oecd.org/ctp/exchange-of-tax-information/automatic-exchange-financial-account-information-common-reporting-standard.pdf>

(We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

Part A (All fields are mandatory)

Section I		Details of Account Holder						
1	Trading code: Client ID (To be provided only if demat account is with MSLDP):							
2	PAN*							
3	Name of Account Holder							
4	Address for Tax Residence (include City, State, Country and Pin code)							
5	Address Type(Tick whichever applicable)	(a) Residential	<input type="checkbox"/>					
		(b) Business	<input type="checkbox"/>					
		(c) Registered Office	<input type="checkbox"/>					
6	Do you satisfy any of the criteria mentioned below?	Yes				No		
a.	Citizen of any country other than India (dual / multiple) [including Green card]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
b.	Country of birth is any country other than India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
c.	Tax resident of ANY country/ies other than India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
d.	POA or a mandate holder who has an address outside India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
e.	Address or telephone number outside India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
If your answer to any of the above questions is a 'YES', please fill Section II of the form, else go to declaration & acknowledgment								

Section II - Other information (Please fill in BLOCK LETTERS)

Father's name _____ (If PAN not available, then mandatory)

Country of Birth' _____ Place within the country of birth _____




'(If USA, however nationality and/or country of tax residency is not USA, please provide documentary evidence as mentioned in Instruction 1)

Source of Wealth _____ Nationality _____

Please list below the details, confirming ALL countries of tax residency/ permanent residency/ citizenship and ALL Tax Identification Numbers

Country of Tax residency	Tax identification no ^{\$}	Tax identification document (TIN or functional equivalent)

^{\$}It is mandatory to supply a TIN or functional equivalent (in case TIN not available) if the country in which you are tax resident issues such identifiers. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation below.

Declaration & Acknowledgement	<p>I _____ being the beneficial owner of the account opened/to be opened with Manashvi Securities Limited (MSL) and the income credited therein, declare that the above information and information in the submitted documents to be true, correct and updated, and the submitted documents are genuine and duly executed.</p> <p>I acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the MSL may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently. In certain circumstances (including if the MSL does not receive a valid self-certification from me) the MSL may be obliged to share information on my account with relevant tax authorities. Should there be any change in any information provided by me I ensure that I will advise MSL promptly, i.e., within 30 days.</p> <p>Towards compliance with such laws, the MSL may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/tax authorities, the MSL may also be constrained to withhold and pay out any sums from my account or close or suspend my account(s).</p> <p>I also understand that the account will be reported if any one of the aforesaid FATCA/CRS criteria for any of the account holders i.e. primary or joint (in case of demat account) are met.</p>
Customer Signature	<p> X _____  X _____  X _____</p> <p style="text-align: center;">Sole / First Holder Signature Second Holder Signature Third Holder Signature</p>
Date	

Instructions to the Form

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

S. No.	FATCA/ CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
1	U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. - Certified Copy of "Certificate of Loss of Nationality or b. - Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or c. - Reason the customer did not obtain U.S. citizenship at birth
2	Residence/ mailing address in a country other than India or Telephone number in a country other than India	1. 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. 2. Documentary evidence (refer list below)
3	Standing instructions to transfer funds to an account maintained in a country other than India (other than depository accounts)	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 3. Documentary evidence (refer list below)
4	POA granted to a person with an address in a country outside India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* **Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**



FATCA & CRS Declaration - Non Individual

PAN Trading Code Client ID
 Name

Please tick the applicable tax resident declaration-

1. Is "Entity" a tax resident of any country other than India Yes No
 (If Yes, Please Provide Country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number	Identification Type (TIN or Other. Please specify)
1.			
2.			
3.			

In case Tax Identification Number is not available, kindly provide its functional equivalent.
 In case TIN or its functional equivalent is nit available, please provided Company identification number or Global Entity Identification Number or GIIN, etc.

In case the Entry's Country of Incorporation / tax residence is U.S. but Entity is not Specified U.S. person, Mention Entity's exemption code here

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a, Financial institution (Refer I of Part C) or Direct reporting NFE (Refer 3(vii) of Part C) (please tick as appropriate)
 GIIN not available (please tick as applicable)

GIIN
Note: if you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below
 Name of sponsoring entity _____
 Applied for Not obtained-Non-participating FI
 Not required to apply for- please specify 2 digits sub-category (Refer | A of Part C)

PART B (Please fill any one as appropriate " to be filled by NFEs other than Direct Reporting NFEs)

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of part C)
 Yes (if yes, please specify any one stock exchange on which the stock is regularly traded)
 Name of Stock exchange _____

2. Is the Entity related entity of a publicly traded company (a company whose shares regularly traded on an established securities market) (Refer 2b of Part C)
 Yes (if yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)
 Name of listed company _____
 Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed Company
 Name of stock exchange _____

3. Is the Entity an active NFE (Refer 2c of Part C)
 Yes Name of Business _____
 Please specify the sub-category of Active NFE (Mention code - refer 2c of Part C)

4. Is the Entity a passive NFE (Refer 3(ii) of Part C)
 Yes Nature of Business _____

UBO Declaration (Mandatory for all entities except, a Publicly Traded Comapny or a related entity of Publicly Traded Company)

Category (Please tick applicable category): Unlisted Company Partnership Firm Limited Liability Partnership Company
 Unincorporated association / body of individuals Public Charitable Trust Religious Trust Private Trust
 Others (Please specify _____)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax identification Number for EACH controlling person(s). (Please attach additional sheets if necessary)
 Owner-documented FFI's should provided FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in From W8 BEN E (refer 3(vi) of Part C)

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> State: _____ Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) ⁵			

* To include US, where controlling person is a US citizen or green card holder

If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.


% In case Tax Identification Number is not available, kindly provide functional equivalent

⁵ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Alankit Assignments Limited for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS.

Name			
Designation			
Sign here : (I) 	<input style="width: 250px; height: 30px;" type="text"/>	Date :	<input style="width: 150px; height: 20px;" type="text"/>
		Place :	<input style="width: 200px; height: 20px;" type="text"/>

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest AAL branch or you can dispatch the hard copy to-

Regd. Office : 524, P.J.Tower, Dalal Street, Fort Mumbai-400 023. Tel : 022-40139477, 022-40139577, www.manashvi.com

Corporate Office : 417/A, Anjani Complex, Pereira Hill Road, Opp. Gurunanak Petrol Pump, Nr. WEH Metro Station, Andheri (E) Mumbai-400 099, Tel : 022-40139577, 022-40139477, E-mail : manashvi@manashvi.com

Gandhinagar Office: 556 1st Floor, Opp. Tejas Society, Sector-23, Gandhinagar-382 024. Tel : 079-68101112 | Fax : 079-68101105 & 562/1, Arambh, opp. Tejas Society, sector-23, Gandhinagar-382024. Tel : 079-68101107/08 | Email : gandhinagar@manashvi.com


For Detail Terms & Condations please visit www.manashvi.com


Annexure A

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

 X _____
Sole / First Holder
Signature

 X _____
Second Holder
Signature

 X _____
Third Holder
Signature

Voluntary Freezing/ Blocking of Trading Account by Client


Procedure to VOLUNTARILY FREEZE/ UNFREEZE TRADING ACCOUNT


- Clients can send request to FREEZE / BLOCK their TRADING ACCOUNT with Manashvi Securities Ltd - through Email or Hand Written Request mentioning their Client Code - Name / Email Id / Mobile Number and Subject Line "**REQUEST TO FREEZE BLOCK TRADING ACCOUNT**"
- The above request should be sent to stoptrade@manashvi.com
- The Back office will process the request by changing the Status in the Back Office system - **FREEZE FOR TRADING. Remarks - Request from Client (Date)**
- The Back-office admin will inform the Trading Application Admin to **INACTIVATE** the account temporarily by Email (**To be sent to mslit@manashvi.com**)
- Front Office Admin will check the status in the Back Office system and after confirmation will **INACTIVATE** the account in the Trading Platform. Then the Front Office will send email to stoptrade@manashvi.com about INACTIVATION of Client Code in the Trading Platform.
- Once the process is completed the Client shall be informed of Trading Account FREEZE BLOCKED within 2 to 3 working days from receipt of request by stoptrade@manashvi.com
- **To UNFREEZE/ UNBLOCK the Trading Account which has been FREEZED / BLOCKED on client request, The Client will have to again send the request** through Email or Hand Written Request mentioning their Client Code-Name/ Email Id/Mobile Number and Subject Line - "**REQUEST TO UNFREEZE/ UNBLOCK TRADING ACCOUNT**"

The above request should be sent to stoptrade@manashvi.com

- Similar process as above shall be followed by Back Office and Front Office to UNFREEZE / UNBLOCK the Trading Account within 2 to 3 working days from receipt of request and The Client shall be informed accordingly by stoptrade@manashvi.com

 _____
First Holder
Signature

 _____
Second Holder
Signature

 _____
Third Holder
Signature

From :-
Client Code :- _____

Client Name :-
Address :-

To,
Manashvi Securities Ltd.
524, P.J. Towers, Dalal Street
Fort, Mumbai - 400 001

Dear Sir,


My UNIQUE CLIENT CODE (MSL Client Code/BO ID) is _____


I, _____
hereby

Acknowledge that I have received a copy of:

- Accounting Opening Letter,
- Copy of KYC & Trading Account related details.
- Copy of Rights and Obligations of beneficial owner and Depository Participant.
- Copy of Right and Obligations of Stock Brokers, Sub-Brokers and Clients.
- Copy of Risk Disclosure Document,
- Copy of Guidance Note, Policies and Procedures, Tariff Sheet,
- Copy of Voluntary (Non-Mandatory) documents & Annexures signed / provided by me during application
- Copy of any other document submitted by me during application
- MITC

Thanking You
Yours faithfully,

 X _____
Sole / First Holder
Signature

 X _____
Second Holder
Signature

 X _____
Third Holder
Signature

Date : _____

Place : _____

	Maker	Checker
Checking	1)	2)
Demat	3)	4)
KYC	5)	6)
CKYC	7)	8)
Verify	9)	10)
Final Checking	11)	12)